

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Gary L. Gastineau et al.

Appl. No.: 09/815,589

Filed: March 23, 2001

For: HEDGING TRADING OF MUTUAL
FUNDS OR OTHER PORTFOLIO
BASKET PRODUCTS

Examiner: Shrestha, Bijendra K.

Art Unit: 3691

Confirmation No.: 7446

Atty. Docket No: 00322.0007.CNUS01

Customer No. 22930

Supplemental Amendment

Mail Stop Amendment

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Sir:

In response to the Office Action dated **August 5, 2009**, Applicants submit the following supplemental Amendment. Please enter the amendment filed November 30, 2009, as well as the following Amendment.

Any necessary extensions of time are hereby petitioned under 37 C.F.R. § 1.136(a), and any fees required therefor (including fees for net addition of claims) are hereby authorized to be charged to our Deposit Account No. 08-3038 referencing docket number **00322.0007.CNUS01**.

Amendments to the Claims are reflected in the **Listing of Claims**, which begins on page 2 of this paper.

Remarks begin on page 4 of this paper.